

Stability of the Oscillation Mode in a Multiple-Oscillator System

SUSUMU HAMAYA, MEMBER, IEEE

Abstract — By using the Rieke diagram in terms of traveling waves, we discuss the stability conditions for an oscillation mode in a multiple-oscillator system which clearly indicate the reason why it is difficult for a multiple-oscillator system to obtain a single-mode operation. Further, we proposed a combining power system of oscillators which can give a stable operation free from the moding problem. Experimental observations are found to be in good agreement with the conclusions of the analytical approach.

I. INTRODUCTION

OVER THE YEARS, there has been great interest in developing techniques for combining power from microwave and millimeter-wave power sources [1]–[9]. Microwave power-combining techniques can be classified into two categories:

- 1) a number of devices that contribute to the output power in a single circuit [1]–[4],
- 2) the output powers from a number of oscillators that are summed to produce a higher output power [5]–[7].

Most of the approaches for power combining of solid-state devices belong to the former class because of the ease of attaining single-mode operation [1]–[4]. With the combined oscillator in the latter class it is inherently difficult to control oscillation modes [8]. However, studies of the latter class are important for the purpose of combining oscillators, such as magnetrons and klystrons, and for use with the method in the former class.

In this paper, we clarify why it is difficult for a multiple-oscillator system to control oscillation modes. We then discuss the condition to overcome this difficulty. To this end, in Section II, we transform the Rieke diagram in terms of the power into one in terms of traveling waves. In Section III, we discuss the stability of oscillation in an injection-locked oscillator using the new Rieke diagram. In Section IV, extending the discussion in Section III, we obtain a stability condition for the oscillation mode in the multiple-oscillator system. In Section V, we apply the stability condition to a power-combining system with hybrid couplers.

II. RIEKE DIAGRAM IN TERMS OF TRAVELING WAVES

Fig. 1 shows a circuit of the injection-locked oscillator. If a signal is injected with a frequency close to the free-running frequency, the oscillator will be locked to the injection

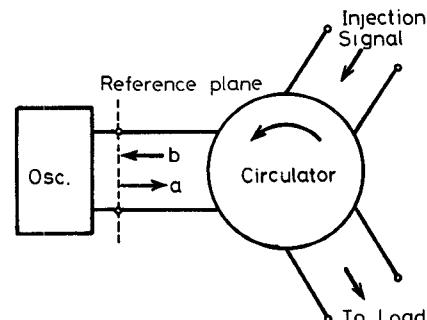


Fig. 1. An equivalent circuit of an injection-locked oscillator with a circulator.

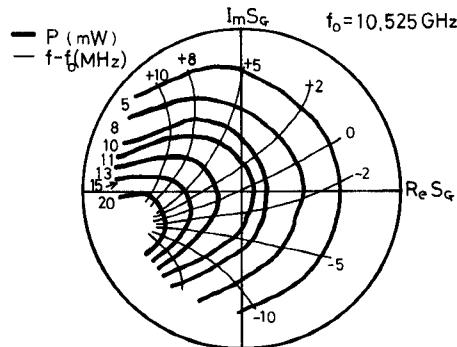


Fig. 2. Measured Rieke diagram of a Gunn oscillator.

signal. The quantities a and b shown in Fig. 1 will be called, respectively, the output and input waves of the oscillator. We define the inverse reflection coefficient of the oscillator S_G as

$$S_G = b/a \quad (1)$$

where a and b are values at the reference plane in Fig. 1.

Fig. 2 shows the conventional Rieke diagram of a Gunn oscillator on the S_G plane. The constant power contours in Fig. 2 can be transformed into constant amplitude contours of A ($= |a|$) and B ($= |b|$). Using the relation

$$P = A^2 - B^2 \quad (2)$$

we have

$$A^2 = P/(1 - |S_G|^2) \quad (3)$$

$$B^2 = |S_G|^2 \cdot P/(1 - |S_G|^2) \quad (4)$$

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The author is with Numazu College of Technology, 3600, Ooka, Numazu, 410 Japan.

be the corresponding eigenvalue. Then we can rewrite \mathbf{a}_o as follows:

$$\mathbf{a}_o = \sum_{k=0}^{n-1} a_{ok} \mathbf{x}_k \exp(j\omega_{ok}t) \quad (26)$$

where a_{ok} is a constant indicating the output-wave amplitude and ω_{ok} is the root of $\lambda_k(\omega_o) = 0$. Now, assume that the input-wave vector is perturbed by a small amount $\Delta\mathbf{b}$, where

$$\Delta\mathbf{b} = (\Delta b_1, \Delta b_2, \dots, \Delta b_n)^T.$$

Then the corresponding equation of (23) is given by

$$[\mathbf{S}_G(B_o, \omega) - \mathbf{S}_L(\omega)](\mathbf{a}_o + \Delta\mathbf{a}) = \Delta\mathbf{b} \quad (27)$$

where $\Delta\mathbf{a} = (\Delta a_1, \Delta a_2, \dots, \Delta a_n)^T$.

When $\Delta\mathbf{b}$ is taken away, (27) becomes

$$[\mathbf{S}_G(B_o, \omega) - \mathbf{S}_L(\omega)](\mathbf{a}_o + \Delta\mathbf{a}) = \mathbf{0}. \quad (28)$$

From (23) and (27), we obtain

$$[\mathbf{S}_N(\omega)]\Delta\mathbf{a} = \mathbf{0} \quad (29)$$

where

$$[\mathbf{S}_N(\omega)] = [\mathbf{S}_G(B_o, \omega) - \mathbf{S}_L(\omega)]. \quad (30)$$

Let \mathbf{x}_k be the eigenvector of $\mathbf{S}_N(\omega)$ and let $\mu_k(\omega)$ be the corresponding eigenvalue. Note that the eigenvectors of $\mathbf{S}_N(\omega)$ are equivalent to those of the matrix in (23). Then we can rewrite $\Delta\mathbf{a}$ as follows:

$$\Delta\mathbf{a} = \sum_{k=0}^{n-1} \Delta a_{ok} \mathbf{x}_k \exp((\alpha_k + j\omega_k)t) \quad (31)$$

where Δa_{ok} is a constant and $\omega_k - j\alpha_k$ is the root of $\mu_k(\omega) = 0$.

Now we consider stability conditions for the oscillation mode. The oscillation mode represented by the eigenvector \mathbf{x}_m is stable if one of following conditions is satisfied:

$$1) \alpha_m < 0 \text{ and } \alpha_k < 0$$

$$2) \alpha_m = 0 \text{ and } \alpha_k < 0$$

where $k = 1, 2, \dots, m-1, m+1, m+2, \dots, n-1$.

Condition 1) is obvious from (31) and the discussion in Section III. Condition 2) comes from the following considerations. The \mathbf{x}_m component of $\Delta\mathbf{a}$ does not have influence on the stability of the oscillation mode \mathbf{x}_m because it does not change the phase relation among the components of the vector \mathbf{x}_m . On the other hand, if a component other than the \mathbf{x}_m component of $\Delta\mathbf{a}$ does not decrease with time, the oscillation mode \mathbf{x}_m becomes unstable because the phase relation among the components of \mathbf{x}_m cannot be kept. Further, the oscillation mode \mathbf{x}_m becomes more stable as the $|\alpha_k|$ is greater.

V. APPLICATION TO THE POWER-COMBINING SYSTEM

Here, we apply the above stability condition to a power-coupling system of identical oscillators using hybrid couplers.

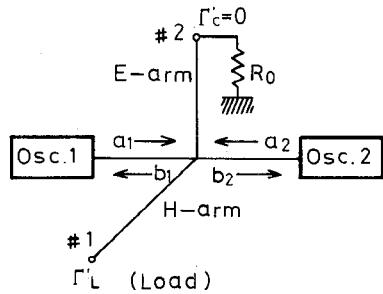


Fig. 8. Power-combining system of two identical oscillators using the magic-T.

A. Two-Oscillator System

Fig. 8 shows a power-combining system of two identical oscillators using the magic T. The scattering matrix \mathbf{S}_L represents the coupled circuit and is given by

$$\mathbf{S}_L(\omega) = (\Gamma_L(\omega)/2) \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} \quad (32)$$

where $\Gamma_L(\omega) = \Gamma'_L(\omega) \cdot e^{-j2\omega l/v}$, where l represents the equivalent length between the oscillator and the load, and v indicates the velocity of the wave.

The scattering matrix \mathbf{S}_G represents a diagonal matrix with its diagonal components equal to the Rieke diagrams of oscillators. It follows from (32) that the amplitude of input wave b_1 is equal to that of b_2 . Therefore, \mathbf{S}_G is given by

$$\mathbf{S}_G(B_o, \omega_o) = \begin{bmatrix} S_G^{(1)}(B_o, \omega_o) & 0 \\ 0 & S_G^{(2)}(B_o, \omega_o) \end{bmatrix}. \quad (33)$$

The operating points $S_G(B_o, \omega_o)$ and oscillation modes \mathbf{x} of the circuit shown in Fig. 8 are given by calculating (23). We obtain

$$(A) \quad S_G^{(1)}(B_o, \omega_o) = S_G^{(2)}(B_o, \omega_o)$$

$$(A.1) \quad S_G^{(1)}(B_o, \omega_o) = S_G^{(2)}(B_o, \omega_o) = \Gamma_L(\omega_o) \\ \mathbf{x}_0 = (1, 1)^T \quad (34a)$$

$$(A.2) \quad S_G^{(1)}(B_o, \omega_o) \neq S_G^{(2)}(B_o, \omega_o) = 0$$

$$\mathbf{x}_1 = (1, -1)^T \quad (34b)$$

$$(B) \quad S_G^{(1)}(B_o, \omega_o) \neq S_G^{(2)}(B_o, \omega_o)$$

$$(B.1) \quad 1/S_G^{(1)}(B_o, \omega_o) + 1/S_G^{(2)}(B_o, \omega_o) = 2/\Gamma_L(\omega_o) \\ \mathbf{x}_h = (1/S_G^{(1)}(B_o, \omega_o), 1/S_G^{(2)}(B_o, \omega_o))^T. \quad (34c)$$

For a small perturbation, the matrix $\mathbf{S}_N(\omega)$ as shown in (30) becomes

$$\mathbf{S}_N(\omega) = \begin{bmatrix} u^{(1)} - \Gamma_L(\omega)/2 & -\Gamma_L(\omega)/2 \\ -\Gamma_L(\omega)/2 & u^{(2)} - \Gamma_L(\omega)/2 \end{bmatrix}. \quad (35)$$

where $u^{(i)} = S_G^{(i)} + S_G^{(i)} \cdot (\omega - \omega_o)$. From now on, $\Gamma_L(\omega)$ will be expressed approximately in the vicinity of the point $\Gamma_L(\omega_o)$ ($= \Gamma_o$) as follows:

$$\Gamma_L(\omega) = \Gamma_o + \Gamma_{L\omega o} \cdot (\omega - \omega_o). \quad (36)$$

1) *Oscillation Mode Given by (34a):* In this case, the oscillators operate in the same phase. Therefore, this oscillation mode will be called the even mode. For the even mode, the output waves are represented by $a_o = a_o x_o$, where a_o is a constant indicating the output-wave amplitude. This is the desired oscillation mode because the coupling circuit delivers the summed output power to the load Γ_L . The eigenvectors x and associated eigenvalues λ of S_N are obtained as follows:

$$\begin{aligned}\lambda_0 &= (S_{G\omega_0} - \Gamma_{L\omega_0}) \cdot (\omega - \omega_0) \text{ for } x_0 \\ \lambda_1 &= S_o + S_{G\omega_0} \cdot (\omega - \omega_0) \text{ for } x_1\end{aligned}\quad (37)$$

where the eigenvectors x_0 and x_1 are represented in (34). Equation (37) shows that the behavior of the x_0 and x_1 components of Δa are analyzed the same as Δa , respectively, of the oscillator terminated by a load and the injection-locked oscillator in Section III. From the stability condition 2) in Section IV, we easily find that when the reflection coefficient of the load is located in the stable region as shown in Fig. 3, the even mode x_0 is stable because $\alpha_0 = 0$ and $\alpha_1 < 0$. The even mode becomes more stable because $|\alpha_1|$ becomes greater as the operating point S_o is located farther from the boundary line shown in Fig. 3.

2) *Stability of Oscillation Mode Given by (34b):* In this case, the oscillators operate in anti-phase. Therefore, this oscillation mode will be called the odd mode. For the odd mode, the output waves are represented by $a_o = a_o x_1$, where a_o is a constant indicating the output-wave amplitude. This is the undesired oscillation mode because the coupling circuit does not deliver the summed output power to the load Γ_L .

For this mode, S_N is given by (35) in which S_o is replaced by 0. The eigenvectors x and associated eigenvalues λ of S_N are obtained as follows:

$$\begin{aligned}\lambda_0 &= (S_{G\omega_0} - \Gamma_{L\omega_0}) \cdot (\omega - \omega_0) \text{ for } x_0 \\ \lambda_1 &= S_{G\omega_0} \cdot (\omega - \omega_0) \text{ for } x_1\end{aligned}\quad (38)$$

Equation (38) shows that α_0 , as well as α_1 , is equal to 0. From the stability condition 2) in Section IV, the odd mode x_1 is found to be unstable. In other words, this mode cannot exist in the system shown in Fig. 8.

3) *Stability of Oscillation Mode Given by (34c):* In this case, some oscillators take the operating points in the unstable region shown in Fig. 3. Therefore, this oscillation mode will be called the H-mode (hybrid-mode). For the H-mode, the output waves are represented by $a_o = a_o x_h$, where a_o is a constant indicating the output-wave amplitude. The H-mode is an undesired mode because the coupling circuit does not deliver the summed output power to the load Γ_L .

Here, let us assume that the operating points $S_G^{(1)}(B_o, \omega_0)$ and $S_G^{(2)}(B_o, \omega_0)$ are represented by $S_G^{(s)}(B_o, \omega_0)$ and $S_G^{(u)}(B_o, \omega_0)$, respectively. Then the eigenvalues λ_{h0} and λ_{h1} and the eigenvectors x_{h0} and x_{h1} are obtained as shown in the Appendix. Note that when $\omega \rightarrow \omega_0$, the directions of x_{h0} and x_{h1} become equal to those of x_h and $(1/(S_o^{(u)}), -1/S_o^{(s)})^T$, respectively. Then we find that the

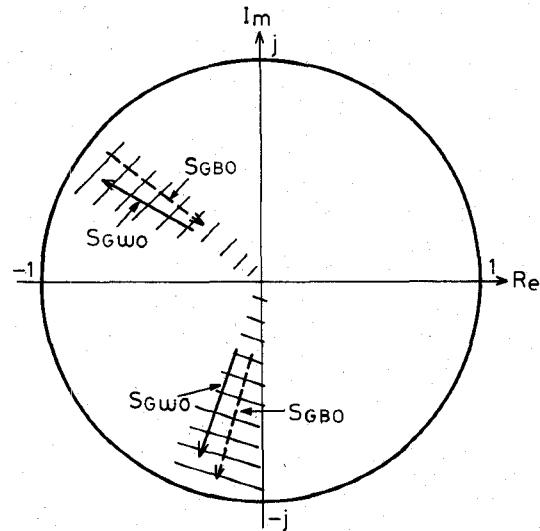


Fig. 9. Rieke diagram at port 1 of Fig. 8 when oscillators are in the H-mode (calculated from the Rieke diagram shown in Fig. 3).

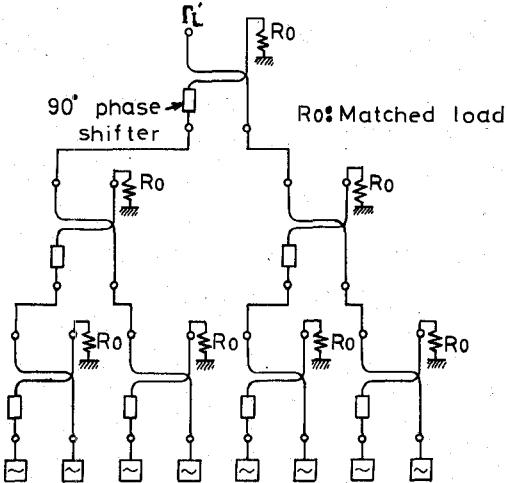


Fig. 10. Power-combining system of 2^3 identical oscillators system using 3-dB directional couplers.

H-mode x_h is stable because $\alpha_{h0} = 0$ and $\alpha_{h1} \leq 0$, where $-\alpha_{h0}$ and $-\alpha_{h1}$ are the imaginary parts of the roots of $\lambda_{h0}(\omega) = 0$ and $\lambda_{h1}(\omega) = 0$, respectively. Thus, it is found that the H-mode is harmful to single-mode operation. However, the effect of the H-mode is not very serious in the multiple-oscillator system for the following reasons.

1) In the H-mode, operating points occur in a narrow region, as shown in Fig. 9. This region can be avoided (Fig. 9 shows that when Γ_L is located outside the shaded area, oscillators cannot oscillate in the H-mode).

2) In the circuit for 2^n oscillators, only one oscillator can take the operating point in the unstable region, as we shall see in the next section.

B. 2^n Oscillators System

The above discussions can be extended to the 2^n identical oscillators system [7] as shown in Fig. 10. S_G is a $2^n \times 2^n$ diagonal matrix and is given by

$$S_G(B_o, \omega) = \text{diag} [\dots, S_G^{(i)}(B_o, \omega), \dots] \quad (39)$$

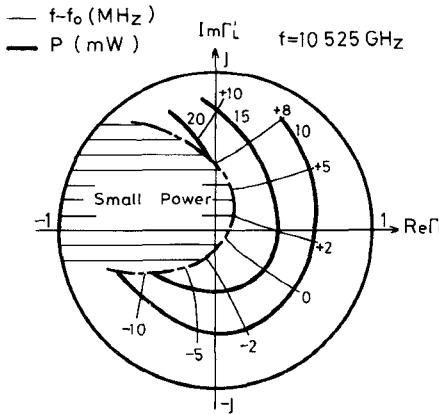


Fig. 11. Rieke diagram measured at port 1 of Fig. 8.

where $S_G^{(i)}(B_o, \omega)$ is either $S_G^{(s)}(B_o, \omega)$ or $S_G^{(u)}(B_o, \omega)$. S_L is a $2^n \times 2^n$ matrix and all components are $\Gamma_L/2^n$.

The even mode x_0 and the odd modes $x_k (k=1, 2, \dots, 2^n - 1)$ are given by

$$x_m = (1, e^{jma}, \dots, e^{jm(i-1)a}, \dots, e^{jm(2^n-1)a})^T \quad (40)$$

where $a = 2\pi/2^n$ and $m = 0, 1, 2, \dots, 2^n - 1$. The similar analysis as shown in Section V-A can be applied, and we find that the even mode x_0 is stable and the odd modes x_k are unstable.

Next, we consider the H-modes. Suppose the number of oscillators whose operating points are in the unstable region is M , and then we obtain from $\det[S_N(\omega)] = 0$

$$(S_G^{(s)})^{2^n - M - 1} (S_G^{(u)})^{M-1} [(S_G^{(s)})(S_G^{(u)}) - \{(2^n - M)S_G^{(u)} + M \cdot S_G^{(s)}\} \Gamma_L/2^n] = 0. \quad (41)$$

When $M \geq 2$, this system becomes unstable because includes $S_G^{(u)} = 0$ (refer to Section III). Therefore, we can say that more than one oscillator cannot take the operating point which is located in the unstable region. Thus, even if one oscillator, unfortunately, takes the operating point in the unstable region, the output-wave vector a_o becomes

$$a_o = a_o (1, 1, 1, \dots, 1, 1, S_G^{(u)}/S_G^{(s)}, 1, 1, \dots, 1)^T. \quad (42)$$

Equation (42) indicates that as the number of oscillators is increased, the H-modes resemble the desired mode (the even mode) more closely and then the effect of the H-mode decreases.

The above mathematical results explain that the oscillators in Fig. 10 can be mutually locked in the even mode, and this system can give stable operation free from mode problems.

C. Experimental Results

Fig. 11 shows the Rieke diagram which was measured from port 1 of Fig. 8. Two identical Gunn oscillators with Rieke diagrams as shown in Fig. 2 were used.

Referring to Fig. 11, we find that the coupling circuit of Fig. 8 delivers the summed output power to the load when Γ_L , the reflection coefficient of the load, is located outside the shaded area in Fig. 11. On the other hand, the output power is small when Γ_L is located in the shaded area. This means that the oscillation mode x_0 dominates outside the shaded area, while the oscillation mode x_1 dominates in

the shaded area. (Note that the oscillation mode x_1 can exist in the practical circuit because port 2 of Fig. 8 is slightly mismatched.)

If we rotate Fig. 11 about $\pi/4$ rad in the counterclockwise direction, then we find it resembles Fig. 2. The small power region corresponds to the unstable region of Fig. 2, and the output power is almost doubled in the stable region of Fig. 2. Therefore, we can say that the discussions in Section III are experimentally verified.

Furthermore, the experiments performed at about 30 MHz on the system with 16 tunnel-diode oscillators and lumped-constant 3-dB directional couplers as shown in Fig. 10 showed that the output power was multiplied by approximately 16, the spectrum was clean, and the circuit adjustment was easy [7]. Therefore, we can say that the oscillators were mutually locked in the even mode. These experimental results agree well with the analytical results in Section V-B.

VI. CONCLUSION

Using the Rieke diagram in terms of traveling waves, we have discussed the stability of the oscillation mode in a multiple-oscillator system. Further, we have clarified the conditions for a stable operation free from the mode problem as follows.

1) For the desired oscillation mode, the operating points must be located in the stable region of the Rieke diagram. Further, it is to be desired that the operating point is located farther from the boundary lines.

2) For the undesired oscillation modes, the oscillators must be in free-running conditions.

3) H-modes exist under some load conditions. Therefore, some procedure is needed to render this mode unstable or nondetrimental.

APPENDIX

For the H-mode, the eigenvalues λ_{h0} and λ_{h1} , and the eigenvectors x_{h0} and x_{h1} of the matrix S_N are given by

$$\begin{aligned} \lambda_{h0} &= \left\{ S_G^{(s)}(B_o, \omega) + S_G^{(u)}(B_o, \omega) - \Gamma_L(\omega) \right. \\ &\quad \left. \mp \sqrt{(S_G^{(s)}(B_o, \omega) - S_G^{(u)}(B_o, \omega))^2 + (\Gamma_L(\omega))^2} \right\} / 2 \end{aligned} \quad (A1)$$

$$\begin{aligned} x_{h0} &= \left(\Gamma_L(\omega), S_G^{(s)}(B_o, \omega) - S_G^{(u)}(B_o, \omega) \right. \\ &\quad \left. \pm \sqrt{(S_G^{(s)}(B_o, \omega) - S_G^{(u)}(B_o, \omega))^2 + (\Gamma_L(\omega))^2} \right)^T. \end{aligned} \quad (A2)$$

When $\omega - \omega_o \rightarrow 0$, these become

$$\begin{aligned} \lambda_{h0} &= \frac{\omega - \omega_o}{(S_o^{(s)})^2 + (S_o^{(u)})^2} \\ &\quad \times \left\{ (S_o^{(u)})^2 S_{G\omega o}^{(s)} + (S_o^{(s)})^2 S_{G\omega o}^{(u)} \right. \\ &\quad \left. - (S_o^{(s)} + S_o^{(u)})^2 \Gamma_{L\omega o} / 2 \right\} \end{aligned} \quad (A3)$$

$$\lambda_{h1} = \frac{(S_o^{(s)})^2 + (S_o^{(u)})^2}{S_o^{(s)} + S_o^{(u)}} + \frac{\omega - \omega_o}{(S_o^{(s)})^2 + (S_o^{(u)})^2} \left\{ (S_o^{(s)})^2 S_{G\omega o}^{(s)} + (S_o^{(u)})^2 S_{G\omega o}^{(u)} - (S_o^{(s)} - S_o^{(u)})^2 \Gamma_{L\omega o} / 2 \right\} \quad (A4)$$

$$x_{h0} = 2 \left(\frac{S_o^{(s)} \cdot S_o^{(u)}}{S_o^{(s)} + S_o^{(u)}} + \Gamma_{L\omega o} \cdot (\omega - \omega_o) / 2, \frac{(S_o^{(s)})^2}{S_o^{(s)} + S_o^{(u)}} + \frac{\omega - \omega_o}{(S_o^{(s)})^2 + (S_o^{(u)})^2} \left\{ (S_o^{(s)})^2 S_{G\omega o}^{(s)} - (S_o^{(s)})^2 S_{G\omega o}^{(u)} + (S_o^{(s)} + S_o^{(u)})^2 \Gamma_{L\omega o} / 2 \right\} \right)^T \quad (A5)$$

$$x_{h1} = 2 \left(\frac{S_o^{(s)} \cdot S_o^{(u)}}{S_o^{(s)} + S_o^{(u)}} + \Gamma_{L\omega o} \cdot (\omega - \omega_o) / 2, - \frac{(S_o^{(u)})^2}{S_o^{(s)} + S_o^{(u)}} + \frac{\omega - \omega_o}{(S_o^{(s)})^2 + (S_o^{(u)})^2} \left\{ (S_o^{(u)})^2 S_{G\omega o}^{(s)} - (S_o^{(u)})^2 S_{G\omega o}^{(u)} + (S_o^{(s)} - S_o^{(u)})^2 \Gamma_{L\omega o} / 2 \right\} \right)^T. \quad (A6)$$

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Susumu Hamaya (M'83) was born in Kanazawa, Japan, in 1943. He received the B.S. and the M.S. degrees in electronics engineering from Shizuoka University, Hamamatsu, Japan, in 1965 and 1967, respectively.

From 1967 to 1969, he was with the New Japan Radio Corporation, Mitaka, Japan. In 1969, he was a Research Assistant at Shizuoka University. In 1970, he joined the Numazu College of Technology, Numazu, Japan, where he is an Assistant Professor. He has worked on microwave solid-state devices, nonlinear waves, and computer software. His current research interests are concerned with microwave power-combining techniques, and the analysis and design of nonlinear microwave circuits.

Mr. Hamaya is a member of the Institute of Electronics and Communication Engineers of Japan.

